



Sector #

PATENT APPLICATION  
Attorney's Do. No. 6939-5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Millind Mittal and Laxman Shankar

Serial No. 09/770,832

Examiner: Not Yet Assigned

Filed: January 25, 2001

Group Art Unit: 2661

For: METHOD AND APPARATUS FOR END TO END FORWARDING  
ARCHITECTURE

TRANSMITTAL LETTER

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Enclosed for filing in the above-referenced application are the following:

- ☒ Copy of Notice to File Missing Parts
- ☒ Filing Fee (\$598)
- ☒ Assignment and Cover Letter
- ☒ Combined Declaration and Power of Attorney
- ☒ Surcharge for Late Filing of Fee or Oath or Declaration
  - ☒ \$65 - Small Entity ☐ \$130 - Large Entity
- ☒ Substitute Drawings (12 sheets) in compliance with 37 CFR 1.84
- ☒ A check in the amount of \$703 to cover filing fee, late filing fee, and assignment recordal fee (\$40).
- ☒ Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

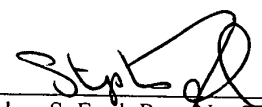


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PATENT TRADEMARK OFFICE

Respectfully submitted,

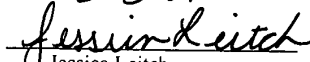
MARGER JOHNSON & McCOLLOM, P.C.

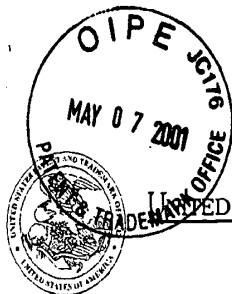
By   
Stephen S. Ford, Reg. No. 35,139

MARGER JOHNSON & McCOLLOM, P.C  
1030 S.W. Morrison Street  
Portland, Oregon 97205  
Telephone: (503) 222-3613

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner of Patents, Washington, DC 20231.

Dated: 5-3-01

  
Jessica Leitch



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/770,832	01/25/2001	Millind Mittal	6939-5

CONFIRMATION NO. 7287

## FORMALITIES LETTER



\*OC000000005843171\*

20575  
MARGER JOHNSON & MCCOLLOM PC  
1030 SW MORRISON STREET  
PORTLAND, OR 97205

Date Mailed: 03/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

49770/2001 SMINASS1 00000024 09770832

FILED UNDER 37 CFR 1.53(b)

355.00  
243.00  
65.00  
40.00

355.00 OP  
243.00 OP  
65.00 OP  
40.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$243.
  - \$243 for 27 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 663.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

BW

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE